



06-29-06

Jpw

FORM 8-3 Express Mail Certificate

Navy Case No. 95801

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. Joseph Roberts, et.al.

Application No.: 10/699,440

Group No.: 2818

Filed: 10/29/2003

Examiner: Dung Anh Le

For: SURFACE IMPRINTED FILMS WITH CARBON NANOTUBES

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EQ 701883023 US

Date of Deposit: June 21, 2006

I hereby state that the following attached Paper(s) and/or Fee(s):

Transmittal Form	1 pg
Amendment with attachments	23 pgs
Return Postcard	1 card

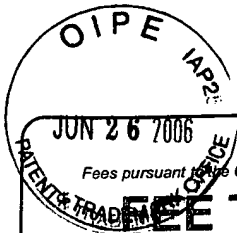
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service Under 37 C.F.R. 1.10, on the date indicated above and is addressed to:

COMMISSIONER OF PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

DAVID S. KALMBAUGH, Reg. No. 29,234

Typed or printed name of person mailing paper or fee

David Kalmbaugh  
Signature of person mailing paper or fee



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Effective 12/08/04.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FREE TRANSMITTAL FOR FY 2005

Complete if known

Application Number 10/699,440  
Filing Date 10/29/2003  
First Named Inventor ROBERTS et.al.  
Examiner Name  
Art Unit  
Attorney Docket No. 95801

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$130.00

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):  
☒ Deposit Account Deposit Account Number: 50-0931 Deposit Account Name: NAWCWD - Pt Mugu/ China Lake

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee (s) indicated below ☐ Charge fee (s) indicated below, except for the filing fee  
☒ Charge any additional fee (s) or any underpayment of fee(s) under CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

Fee Description

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims      Extra Claims      Fee (\$)      Fee Paid (\$)  
-20 or HP = X =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims      Extra Claims      Fee (\$)      Fee Paid (\$)

-3 or HP = X =

HP = highest number of independent claims paid for, if greater than 3.

### Multiple Dependent Claims

Fee (\$)      Fee Paid (\$)

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 USC 411(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets      Extra sheets      Number of each additional 50 or fraction thereof      Fee (\$)      Fee Paid (\$)  
-100 = / 50 = (round up to a whole number) X =

Fees Paid (\$)

### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Filing Surcharge (Declaration)

\$130.00

SUBMITTED BY

(Complete if applicable)

Name (Print/ Type)	David S. Kalmbaugh	Registration No. (Attorney / Agent)	29,234	Telephone	(805) 989-8266
Signature	David Kalmbaugh			Date	10 Apr 2006

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Information is required by 37 CFR 1.53(b). The information is required or obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Please type a plus sign (+) inside this box ☐

PTO/SB/21 (08-003)  
 Approved for use through 07/31/2006. OMB 0651-0031  
 Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number	10/699,440
Filing Date	10/29/2003
First Named Inventor	ROBERTS, et. al.
Art Unit	2818
Examiner Name	Dung Anh Le
Total Number of Pages in This Submission	23
Attorney Docket Number	95801

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Affidavits / declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing - related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interference's <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (Please identify below) Return Post Card
Remarks RESPONSE TO USPTO OFFICE ACTION OF MAY 8, 2006.		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	David S. Kalmbaugh	29,234
Signature	<i>David S. Kalmbaugh</i>	
Date	June 26, 2006	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Services with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or Printed Name			
Signature		Date	

This collection of information is required by 37 CFR 1.53(b). The information is required or obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Appl. No. 10/699,440  
Amdt. dated June 26, 2006  
Reply to Office Action of May 08, 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : M. Joseph Roberts et al. Conformation No. 3258  
Examiner : Dung Anh Le  
Art Unit : 2818  
Docket No. : 95801  
Serial No. : 10/699,440  
Filed : 10/29/2003  
Customer No.: 23572

For: SURFACE IMPRINTED FILMS WITH CARBON NANOTUBES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

**AMENDMENT UNDER 37 C.F.R. §1.121**

Sir:

In response to the Office Action of May 08, 2006, please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page 3 of this paper.

**Amendments to the Drawings** begins on page 13 of this paper.

**Remarks/Arguments** begins on page 14 of this paper.